| SEC For | m 4 | | | | | | | | | | | | | | | | | |
|--|---|--|--|--|---|--|------|--|-------------------|-----|---|--|---|--|--|--|---|--|
| I | FORM | UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | | | | | | | OMB APPROVAL | | | |
| Section 16. Form 4 or Form 5 obligations may continue. See | | | | Filed pu | NT OF CHANGES IN BENEFICIAL OWNERSHIP d pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | | | OMB Number: 3235-0287 Estimated average burden hours per response: 0.5 | | | |
| 1. Name and Purpura | d Address of 1 John | | | 2. Issuer Name and Ticker or Trading Symbol 5. Rela DELCATH SYSTEMS, INC. [DCTH] X | | | | | | | | | | ve title 0ther (specify | | | | |
| 1 | CATH SY | irst) STEMS, INC., SUITE 22C | (Middle) | | 3. Date of Earliest Transaction (Month/Day/Year) 08/05/2021 | | | | | | | | Delow) | | below)` of Operating Officer | | | |
| (Street) NEW YORK NY | | | 10019 | 4. | Line) | | | | | | | | Ioint/Group Filing (Check Applicable iled by One Reporting Person iled by More than One Reporting | | | | | |
| (City) | | | | | | | | | | | | | | | | | | |
| | | Tab | le I - Non-E | Derivativ | ve Seo | curitie | s Ac | quired, D | isposed | of, | or Ben | neficiall | y Owned | | | | | |
| Date | | | | Transactio ate /onth/Day/\ | /ear) i | 2A. Deem Execution f any Month/Da | Date | Code (In | ion Dispos | | | | | es Forn ally (D) o collowing (I) (Ir | | : Direct of r Indirect E str. 4) 0 | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | | | | | Code | / Amou | nt | (A) or (D) | Price | Price Reported Transaction (Instr. 3 and | | | | | |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | | | | | | |
| Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Dat if any (Month/Day/Ye | Code | action (Instr. | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Beneficial Ownership (Instr. 4) | |
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiratio Date | | I | Amount or Number of Shares | | | | | | |
| Employee Stock Option (right to buy) | \$10.16 | 08/05/2021 | | A | | 45,000 | | (1) | 08/05/203 | | Common Stock | 45,000 | \$0.00 | 45,00 | 00 | D | | |

Explanation of Responses:

1. The option immediately vested with respect to four-thirty-sixths (4/36ths) of the option shares and the remaining option shares will vest and become exercisable at the rate of one-thirty-sixth (1/36th) per month on the first day of each month for the next thirty-two months, starting on September 1, 2021, for so long as the reporting person remains an employee of Delcath Systems, Inc. (and subject to the terms and conditions of the Delcath Systems, Inc. 2020 Omnibus Equity Incentive Plan).

Remarks:

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

<u>/s/ John Purpura</u>

** Signature of Reporting Person Date

08/08/2021

orni uispiays a C